

Form No. MGT-9 Extract of Annual Return

[Pursuant to section 92(3) of The Companies Act, 2013 and rule 12(1) of The Companies (Management and Administration) Rules, 2014]

For the financial year ended on 31st March 2020**I. REGISTRATION AND OTHER DETAILS**

CIN	U72200TG1996PTC025388
Registration Date	4 th October 1996
Name of the Company	D. E. Shaw India Private Limited
Category / Sub-Category of the Company	Private Company
Address of the Registered office and contact details	Plot No 573, B & C, Road No. 1, Jubilee Hills, Hyderabad-500096, Telangana Email id: hydfinance@hyd.deshaw.com
Whether listed company Yes / No	No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

Business activities contributing 10 % or more of the total turnover of the Company, is stated below:

Sl. No.	Nature of business	NIC Code of the Product/ service	% to total turnover of the Company
1	Computer programming, consultancy and related activities	62	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sl. No.	Name of the Company	Address of the Company	CIN/ GLN	Holding/ Subsidiary /Associate Company	% of shares Held	Applicable Section under Companies Act, 2013
1	D. E. Shaw & Co., L.P.	1166 Avenue of The Americas, New York - 10036, USA	NA	Holding	99.997%	Section 2(46)

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)**i) Category-wise Share Holding**

Category of Shareholder	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% change during the year
	Demat	Physical	Total	% of total shares	Demat	Physical	Total	% of total shares	
Foreign Promoter Body Corporate	-	358,166	358,166	100	NIL	358,166	358,166	100	-
Total	-	358,166	358,166	100	NIL	358,166	358,166	100	-

(ii) Shareholding of Promoters

Sl. No	Shareholder Name	Shareholding at the beginning of the year			Shareholding at the end of the Year			% change in share holding during the year
		No. of shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares (includes GDR)	No. of shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	
1	D. E. Shaw & Co., L.P.	358,156	99.997	-	358,156	99.997	-	-
2	D. E. Shaw Enterprises L.L.C.*	10	0.003	-	10	0.003	-	-

*The beneficial interest of 10 equity shares held by D. E. Shaw Enterprises L.L.C. lies with D. E. Shaw & Co., L.P.

(iii) Change in Promoters' Shareholding (please specify, if there is no change): Nil

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs): Nil

(v) Shareholding of Directors and Key Managerial Personnel: Nil

V. INDEBTEDNESS: Nil

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Particulars of Remuneration	Details
Gross salary	As per Annexure V of the Board Report
(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	
(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	
(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	
Stock Option	
Sweat Equity Shares	
Commission	
- as %	
-others	
Others	

B. Remuneration to other Directors: Nil

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD: Nil

VII. PENALTIES, PUNISHMENT AND COMPOUNDING OF OFFENCES: Nil

**On behalf of the Board of Directors
For D. E. Shaw India Private Limited**

Sd/-

Chaitanya Gorrepati
Whole-time Director
DIN: 07100577
Place: Hyderabad
Date: 29th September 2020

Sd/-

Christopher Martin Zaback
Director
DIN: 03010364
Place: New York
Date: 29th September 2020